

165 3 43

Attorney's Docket No. 002010-596

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Pa | tent App  | lication of  | )       |  |                       |              |
|----------|-----------|--|---------|--|-----------------------|--------------|
| Thorsett | t, et al. |  | į       | Group Art Unit:                        | Unassigned            |              |
| Applicat | tion No.  | 09/987,619   | ,<br>,  | Examiner: Unass                        | igned                 |              |
| Filed: 1 | Novembe   | er 15, 2001  | į       |  | E<br>E                | ~            |
|          | INHIBI    | MYL COMPOUNDS WHICH T LEUKOCYTE ADHESION TED BY VLA-4  | )       |  | CENTER                | FEB 2.1      |
|          |           | INFORMATION DIS  |         |  | 7002<br>600/2900<br>T |              |
|          |           | issioner for Patents C. 20231  |         |  |                       |              |
| Sir:     |           |  |         |  |                       |              |
|          |           | d is an Information Disclosure Spatent application.  | Stateme | nt and accompanying                    | ng form PTO-1         | 449 for the  |
|          | [x]       | No additional fee for submission   | n of an | IDS is required.                       |                       |              |
|          | []        | The fee of \$180.00 (126) as set   | forth i | n 37 C.F.R. § 1.17                     | (p) is also encl      | osed.        |
|          | []        | A certification under 37 C.F.R.  | § 1.97  | (e) is also enclosed                   | l <b>.</b>            |              |
|          |           | A certification under 37 C.F.R. in 37 C.F.R. § 1.17(p) are also                                  |         |  | \$180.00 (126)        | as set forth |
|          | []        | Charge \$to Depo   | sit Acc | count No. 02-4800                      | for the fee due       |              |
|          | []        | A check in the amount of \$  |         | is enclosed for the                    | e fee due.            |              |
| §§ 1.16  | , 1.17 aı | nmissioner is hereby authorized and 1.21 that may be required by t No. 02-4800. This paper is su | this pa | aper, and to credit a                  |                       |              |
|          |           |  | Respec  | etfully submitted,                     |                       |              |
|          |           |  | BURNS   | s, Doane, Swecke                       | r & Mathis, i         | L.P.         |
|          |           | ginia 22313-1404   | By:     | Gerald F. Swiss<br>Registration No. 30 | 0,113                 | <u>~~</u> »  |



IDS/#3 9-2/23/02

Patent Attorney's Docket No. <u>002010-596</u>

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re P    | atent Application of       | )   |                                |        |                      |            |
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| <b>T</b> 1 | 44 -4 -1                   | )   | Consum Ant Ilmita Ilmanniana d |        |                      |            |
| 1 norse    | ett, et al.                | )   | Group Art Unit: Unassigned     |        |                      |            |
| Applic     | ation No.: 09/987,619      | )   | Examiner: Unassigned           |        |                      |            |
| Tillad.    | November 15, 2001          | )   |                                |        |                      |            |
| rnea:      | November 15, 2001          | )   |                                |        |                      |            |
| For:       | CARBAMYL COMPOUNDS WHICH   | )   |                                | HO3    |                      | J          |
|            | INHIBIT LEUKOCYTE ADHESION | )   |                                |        | المرابع.<br>المرابع. |            |
|            | MEDIATED BY VLA-4          | )   |                                |        | B                    | $\bigcirc$ |
|            |                            | )   |                                | CENTER | 2                    | Ш          |
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|            | INFORMATION DISC           | LOS | URE STATEMENT                  | 1600/2 | 2002                 | m          |

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b). In the event that an Office Action is mailed prior to receipt of this paper, the Commissioner is hereby authorized to charge the requisite fees to Deposit Account No. 02-4800.

#### **U.S. Patents/Applications**

| Patent/Application No. | Date | Name            |
|------------------------|------|-----------------|
| 4,018,912              | 4/77 | Okamoto, et al. |
| 4,018,913              | 4/77 | Okamoto, et al. |
| 4,018,915              | 4/77 | Okamoto, et al. |
| 4,036,955              | 7/77 | Okamoto, et al. |

### Information Disclosure Statement Application No. 09/987,619 Attorney's Docket No. 002010-596 Page 2

| 4,041,156   | 8/77     | Okamoto, et al.   |
|-------------|----------|-------------------|
| 4,046,876   | 9/77     | Okamoto, et al.   |
| 4,055,636   | 10/77    | Okamoto, et al.   |
| 4,055,651   | 10/77    | Okamoto, et al.   |
| 4,069,318   | 1/78     | Okamoto, et al.   |
| 4,070,457   | 1/78     | Okamoto, et al.   |
| 4,071,621   | 1/78     | Oķamoto, et al.   |
| 4,073,914   | 2/78     | Kikumoto, et al.  |
| 4,074,057   | 2/78     | Kawamatsu, et al. |
| 4,096,255   | 6/78     | Kikumoto, et al.  |
| 4,097,591   | 7/78     | Okamoto, et al.   |
| 4,104,392   | 8/78     | Okamoto, et al.   |
| 4,977,168   | 12/90    | Bernat, et al.    |
| 5,338,755   | 8/16/94  | Wagnon, et al.    |
| 5,397,801   | 3/14/95  | Wagnon, et al.    |
| 5,481,005   | 1/2/96   | Wagnon, et al.    |
| 5,578,633   | 11/26/96 | Wagnon, et al.    |
| 5,686,628   | 11/11/97 | Veale, et al.     |
| 60/022,890  | 7/96     | Lin, et al.       |
| 60/032,786  | 12/96    | Lin, et al.       |
| 60/047,856  | 5/97     | Durette, et al.   |
| 60/047,954  | 5/97     | Durette, et al.   |
| 60/048, 017 | 3/97     | Durette, et al.   |
| 60/066,525  | 11/97    | Durette, et al.   |
| 60/066,787  | 11/97    | Durette, et al.   |
| 60/066,831  | 11/97    | Durette, et al.   |
| 60/086,241  | 3/97     | He, et al.        |
| 08/821,825  | 3/97     | He, et al.        |
|             |          |                   |

### Foreign Patent/Applications

| Application No. | Date     | Country   |
|-----------------|----------|-----------|
| WO 92/16549     | 10/1/92  | PCT       |
| WO 94/07815     | 4/14/94  | PCT       |
| WO 94/12181     | 6/9/94   | PCT       |
| WO 95/15973     | 6/15/95  | PCT       |
| WO 96/20725     | 7/11/96  | PCT       |
| WO 96/20949     | 7/11/96  | PCT       |
| WO 96/22966     | 8/1/96   | PCT       |
| WO 97/03094     | 1/30/97  | PCT       |
| WO 98/04247     | 2/5/98   | PCT       |
| WO 98/42656     | 10/1/98  | PCT       |
| WO 98/53814     | 12/3/98  | PCT       |
| WO 98/53817     | 12/3/98  | PCT       |
| WO 98/53818     | 12/3/98  | PCT       |
| WO 98/54207     | 12/3/98  | PCT       |
| WO 99/10312     | 3/4/99   | PCT       |
| WO 99/10313     | 3/4/99   | PCT       |
| AU 6147073      | 4/17/75  | Australia |
| DE 2357334      | 6/6/74   | Germany   |
| DE 2655636      | 6/23/77  | Germany   |
| EP 0526348      | 2/3/93   | Europe    |
| 9711143.9       | 5/30/97  | GB        |
| 9714314.3       | 7/7/97   | GB        |
| 9714316.8       | 7/7/97   | GB        |
| 9714335.8       | 7/7/97   | GB        |
| 9722674.0       | 10/27/97 | GB        |
| 9800680.2       | 1/14/98  | GB        |

Information Disclosure Statement Application No. <u>09/987,619</u> Attorney's Docket No. <u>002010-596</u> Page 4

| 9800684.4   | 1/14/98 | GB    |
|-------------|---------|-------|
| 9800686.9   | 1/14/98 | GB    |
| JP 04154732 | 5/27/92 | Japan |

#### **Non-Patent Literature**

Simanis et al., Int. J. Pept. Protein Res., (1982), 16:(1), pp. 67-70.

Leibritz, et al., Tetrahedron (1982), 38(14), pp. 2165-2181.

El-Naggar, et al., Acta. Pharm. Jugosl., (1985), 35(1), pp. 15-22.

Chemical Abstract No. 126040, Vol. 74, No. 23 (6/7/71)

Chemical Abstract No. 176262, Vol. 99, No. 21 (11/21/83)

Chemical Abstract No. 210288, Vol. 106, No. 25 (6/22/87)

Chemical Abstract No. 167952, Vol. 108, No. 19 (5/9/88)

Chemical Abstract No. 34164, Vol. 125, No. 3 (7/15/96)

Copies of the documents above were previously submitted in prior application Serial No. 09/126,958, filed July 31, 1998, upon which applicants rely for the benefits provided in 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), copies of the above-listed documents are not included.

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such.

Applicants specifically reserve the right, where appropriate, to antedate any such reference by the appropriate showing under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

Information Disclosure Statement Application No. <u>09/987,619</u> Attorney's Docket No. <u>002010-596</u> Page 5

This Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists.

To assist the Examiner, the documents listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

y: \_\_\_<del>\_</del>\_

Zerald F. Swiss

Registration No. 30,113

P.O. Box 1404 Alexandria, Virginia 22313-1404 (650) 622-2300

Date:

Substitute for form 1449A/PTO

## FEB 1 9 2002 INFORMATION DISCLOSURE STATEMENT BY APPLICANT

|   |                    | <u> </u>        |
|---|--------------------|-----------------|
|   | ATTORNEY'S DKT NO. | APPLICATION NO. |
|   | 002010-596         | 09/987,619      |
|   | APPLICANT          |                 |
|   | Thorsett, et al.   |                 |
| i | FILING DATE        | GROUP           |
|   | 11/15/01           | Unassigned      |

|                      |            |                         | U.S. PATENT DOCUMENTS                                   |                                     |
|----------------------|------------|-------------------------|---|-------------------------------------|
| U.S. Patent Document |            | ocument                 |   |                                     |
| Examiner<br>Initials | Number     | Kind Code<br>(if known) | Name of Patentee or Applicant of Cited Document         | Date of Publication<br>(MM-DD-YYYY) |
|                      | 4,018,912  |                         | Okamoto, et al.   | 4/77                                |
|                      | 4,018,913  |                         | Okamoto, et al.   | 4/77                                |
|                      | 4,018,915  |                         | Okamoto, et al.   | 4/77                                |
|                      | 4,036,955  |                         | Okamoto, et al.   | 7/77                                |
|                      | 4,041,156  |                         | Okamoto, et al.   | 8/77                                |
|                      | 4,046,876  |                         | Okamoto, et al.   | 9/77                                |
|                      | 4,055,636  |                         | Okamoto, et al.   | 10/77                               |
| ;                    | 4,055,651  |                         | Okamoto, et al.   | 10/77                               |
|                      | 4,069,318  |                         | Okamoto, et al.   | 1/78                                |
|                      | 4,070,457  |                         | Okamoto, et al.   | 1/78                                |
|                      | 4,071,621  |                         | Okamoto, et al.   | 1/78                                |
|                      | 4,073,914  |                         | Kikumoto, et al.  | 2/78                                |
|                      | 4,074,057  |                         | Kawamatsu, et al.                                       | 2/78                                |
|                      | 4,096,255  |                         |   | 6/78                                |
|                      | 4,097,591  |                         | Okamoto, et al.   |                                     |
|                      | 4,104,392  |                         | Okamoto, et al.  Okamoto, et al.  Okamoto, et al.       | 8/78                                |
|                      | 4,977,168  |                         | Bernat, et al.  | 12/90                               |
|                      | 5,338,755  |                         | Wagnon, et al.  | 8/16/94                             |
|                      | 5,397,801  |                         | Wagnon, et al.  | 3/14/95                             |
|                      | 5,481,005  |                         | Wagnon, et al.  | 1/2/96                              |
|                      | 5,578,633  |                         | Wagnon, et al.  | 11/26/96                            |
|                      | 5,686,628  |                         |   | 11/11/97                            |
|                      | 60/022,890 |                         | Lin, et al.   |                                     |
|                      | 60/032,786 |                         | Veale, et al.  Lin, et al.  Lin, et al.  Duratto et al. | 12/96                               |
|                      | 60/047,856 |                         | Durette, et al.   | 5/97                                |
|                      | 60/047,954 |                         | Durette, et al.   | 5/97                                |
|                      | 60/048,017 |                         | Durette, et al.   | 3/97                                |
|                      | 60/066,525 |                         | Durette, et al.   | 11/97                               |
|                      | 60/066,787 | /                       | Durette, et al.   | 11/97                               |
|                      | 60/066,831 |                         | Durette, et al.   | 11/97                               |
|                      | 60/086,241 |                         | He, et al.  | 3/97                                |
|                      | 08/821,825 |                         | He, et al.  | 3/97                                |
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|                      |            |                         |   |                                     |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

|                    | <u> </u>        |
|--------------------|-----------------|
| ATTORNEY'S DKT NO. | APPLICATION NO. |
| 002010-596         | 09/987,619      |
| APPLICANT          |                 |
| Thorsett, et al.   |                 |
| FILING DATE        | GROUP           |
| 11/15/01           | Unassigned      |

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|  |                |             |                        |                     |  |              |
|  |                | FO          | REIGN PATENT DOCUMENTS |                     |  |              |
|  | Foreign Patent |             |                        |                     | <del>-  </del>                                   |              |
| Examiner   | Number         | Kind Code   | 0                      | Date of Publication |  | lation       |
| Initials   | Number         | (if known)  | Country                | (MM-DD-YYYY)        | Yes  | no           |
| · · · · · · · · · · · · · · · · · · ·  | WO 92/16549    |             | PCT                    | 10/1/92             | _  |              |
| PE   | WO 94/07815    |             | PCT                    | 4/14/94             |  |              |
| 23   | WO 94/12181    |             | PCT                    | 6/9/94              |  |              |
| 1 9 5005 H   | 1440 0044 000  |             | PCT                    | 6/15/95             | _  |              |
| OFFIC.   | WO 96/20725    |             | PCT                    | 7/11/96             |  |              |
| E ADEMARKS   | WO 96/20949    | , , <u></u> | PCT                    | 7/11/96             | +  |              |
| La de La Caraciana de la Carac | WO 96/22966    |             | PCT                    | 8/1/96              |  |              |
| ,  | WO 97/03094    |             | PCT                    | 1/30/97             | _  |              |
|  | WO 98/04247    |             | PCT                    | 2/5/98              |  |              |
|  | WO 98/42656    |             | PCT C                  | 10/1/98             |  |              |
| <u> </u>   | WO 98/53814    |             | PCT O                  | 12/3/98             | <del>                                     </del> |              |
|  | WO 98/53817    |             | PCT Z                  | 12/3/98             |  |              |
|  | WO 98/53818    |             | PCT TTI №              | 12/3/98             |  |              |
|  | WO 98/54207    |             | PCT -                  | 12/3/98             |  |              |
|  | WO 99/10312    |             | PCT (S) (S)            | 3/4/99              |  |              |
|  | WO 99/10313    |             | PCT 🚱                  | 3/4/99              |  |              |
|  | AU 6147073     |             | Australia              | 4/17/95             |  |              |
|  | DE 2357334     |             | Germany                | 6/6/74              |  |              |
|  | DE 2655636     |             | Germany                | 6/23/77             |  |              |
|  | EP 0526348     |             | Europe                 | 2/3/93              |  |              |
|  | 9711143.9      |             | Great Britain          | 5/30/97             |  | .=           |
|  | 9714314.3      |             | Great Britain          | 7/7/97              |  |              |
|  | 9714316.8      |             | Great Britain          | 7/7/97              |  |              |
|  | 9714335.8      |             | Great Britain          | 7/7/97              |  |              |
|  | 9722674.0      | -           | Great Britain          | 10/27/97            |  |              |
|  | 9800680.2      |             | Great Britain          | 1/14/98             |  |              |
|  | 9800684.4      |             | Great Britain          | 1/14/98             |  |              |
|  | 9800686.9      |             | Great Britain          | 1/14/98             | 17-53  |              |
|  | JP 04154732    |             | Japan                  | 5/27/92             |  |              |
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|  |                |             |                        |                     | 1-1  | · ·          |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

SHEET 3 OF 3

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| ATTORNEY'S DKT NO. | APPLICATION NO. |
|--------------------|-----------------|
| 002010-596         | 09/987,619      |
| APPLICANT          |                 |
| Thorsett, et al.   |                 |
| FILING DATE        | GROUP           |
| 11/15/01           | Unassigned      |

|                       | NON PATENT LITERATUR   | RE DOCUMENTS       |  |  |  |  |
|-----------------------|--|--------------------|--|--|--|--|
| Examiner<br>Initials  | The state of the s |                    |  |  |  |  |
|                       | Simanis et al., Int. J. Pept. Protein Res., (1982),  |                    |  |  |  |  |
|                       | Leibritz, et al., Tetrahedron (1982), 38(14), pp.  | 2165-2181.         |  |  |  |  |
|                       | El-Naggar, et al., Acta. Pharm. Jugosl., (1985),   | 35(1), pp. 15-22.  |  |  |  |  |
|                       | Chemical Abstract No. 126040, Vol. 74, No. 23  | (6/7/71)           |  |  |  |  |
| مقد                   | Chemical Abstract No. 176262, Vol. 99, No. 21 (11/21/83)   |                    |  |  |  |  |
| 011 6                 | Chemical Abstract No. 210288, Vol. 106, No. 25 (6/22/87)   |                    |  |  |  |  |
|                       | Enemical Abstract No. 167952, Vol. 108, No. 19   | 9 (5/9/88)         |  |  |  |  |
| EB 1 9 2002           | 2002 Shemical Abstract No. 34164, Vol. 125, No. 3 (7/15/96)  |                    |  |  |  |  |
|                       | */   |                    |  |  |  |  |
| MADEMARK              | <u></u>  | <del></del>        |  |  |  |  |
|                       |  |                    |  |  |  |  |
|                       |  |                    |  |  |  |  |
| Examiner<br>Signature |  | Date<br>Considered |  |  |  |  |